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PATENT  
ATTORNEY DOCKET NO.: 80168-0131

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
 )  
Tuan Tran et al. )  
 )  
Application No.: 09/711,945 )  
 )  
Filing Date: November 15, 2000 )  
 )  
For: SYSTEM AND METHOD FOR DEVELOPING )  
AND USING A REQUEST FOR TRANSACTION )  
FRAMEWORK )

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

This is a response to the Notice to Filing Missing Parts, dated March 15, 2001, in the above-identified application.

1. Combined Declaration and Power of Attorney

☒ Enclosed.

This application was filed without an executed Declaration and Power of Attorney.

2. Assignment

☐ An assignment of the invention to \_\_\_\_\_ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

☒ An assignment will be filed at a later date.

## 3. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS FOR FEE CALCULATION				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$710.00 Design \$310.00
Total Claims (37 C.F.R. §1.16(c))	22 - 20 =	2	\$ 18.00 each=	+\$ 36.00
Independent Claims (37 C.F.R. §1.16(b))	5 - 3 =	2	\$ 80.00 each=	+ \$ 160.00
Multiple dependent claim(s), if any (37 C.F.R. §1.16(d))			\$ 260.00	+
SUB-TOTAL =				\$ 906.00
Surcharge under 37 C.F.R. § 1.16(e)			\$ 130.00	\$130.00
Reduction by 1/2 for filing by a small entity				-\$
TOTAL FILING FEE =				\$ 1,036.00

## 4. Fee Payment

☒ Enclosed.

A check in the amount of \$1,036.00 is enclosed for the filing fee and the \$130.00 surcharge as set forth in 37 C.F.R. 1.16(e).

☐ If an Assignment is being filed as noted in paragraph 2 above, a separate check in the amount of \$40.00 is enclosed.

☐ Authorization is given to charge \$\_\_\_\_\_ to Deposit Account 50-1349 for the fees due as specified above.

5. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3)

6. Other
- ☐ Information Disclosure Statement
  - ☐ PTO-1449, \_\_ documents as listed
  - ☐ Preliminary Amendment
  - ☐ Claim for Priority
  - ☐ Certified copy of Priority Document
  - ☐ Sequence Listing

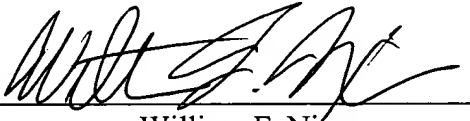
If there are any questions regarding the above-captioned patent application, please contact applicant's representative at the number given below.

Respectfully submitted,

**HOGAN & HARTSON LLP**

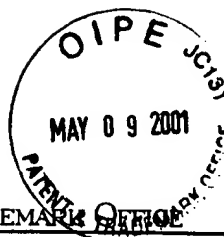
Dated: May 9, 2001

**HOGAN & HARTSON LLP**  
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UNITED STATES PATENT AND TRADEMARK OFFICE


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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/711,945	11/15/2000	Tuan Tran	80168-0131

CONFIRMATION NO. 8533

 24633  
 CELINE JIMENEZ CROWSON  
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 SUITE 701-W  
 555 13TH ST, NW  
 WASHINGTON, DC 20004

## FORMALITIES LETTER



\*OC000000005866771\*

Date Mailed: 03/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$196.
  - \$36 for 2 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1036.**

05/10/2001 DTESSEM1 00000056 09711945

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:102	160.00 OP
04 FC:103	36.00 OP

 copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE